

No: 29/2026/TTr-HĐQT

Ha Noi, 29 / 10/2026

PROPOSAL

Re: The approval of providing securities transaction clearing and settlement services.

**THE BOARD OF DIRECTORS
STANLEY BROTHERS SECURITIES INCORPORATION**

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation ;
- Pursuant to the Charter of Stanley Brothers Securities Incorporation ("**Company**");

With the objective of implementing the underlying securities clearing and settlement system under the Central Counterparty mechanism by 2027, the State Securities Commission has announced the Implementation Plan for the Central Counterparty mechanism for the underlying securities market.

On that basis, to prepare for the Company's execution of securities transaction clearing and settlement services in accordance with legal regulations and aligned with the Implementation Plan for the Central Counterparty mechanism for the underlying securities market announced by the State Securities Commission, with the purpose of enhancing customer experience and attracting clients, the Board of Directors of the Company respectfully submits to the General Meeting of Shareholders for consideration and approval of the following matters:

1. Approve the execution of securities transaction clearing and settlement services in accordance with applicable laws.
2. Approve the authorization/assignment to the Board of Directors of the Company as follows:
 - 2.1. Approve, decide, and execute all contents, matters, tasks, and documents related to the implementation of securities transaction clearing and settlement services, including but not limited to:
 - a) Selecting, deciding, and registering the type of clearing membership (general clearing member or direct clearing member) based on the Company's operational conditions and business requirements;
 - b) Deciding the plan for preparation and implementation;

- c) Deciding the tentative implementation timeline and method; and the official implementation timeline and method;
- d) Deciding the execution of necessary procedures and tasks to be granted the Certificate of Eligibility to Provide Securities Transaction Clearing and Settlement Services, the Certificate of Clearing Membership, and other licenses, certificates, decisions, and written approvals from competent authorities; and
- e) Deciding other matters related to the provision of securities transaction clearing and settlement services in accordance with legal regulations..

2.2. The Board of Directors is entitled to authorize/assign to the Chairman of the Board of Directors, the General Director of the Company, and/or other individuals or entities to perform part or all of the tasks specified in Section 2 of this Proposal.

The Board of Directors of the Company respectfully submits to the General Meeting of Shareholders for consideration and approval.

Sincerely./.

Recipients:

- As above;
- Archives.

**ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN**



Họ Lê Viet Hung