CÔNG TY CỔ PHẦN CHỨNG KHOÁN STANLEY BROTHERS	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc**
STANLEY BROTHERS SECURITIES INCORPORATION ---	***SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom – Happiness*** ---
Số/ No.: 401/2026/CV-SBSI *V/v: CBTT Nghị quyết, Biên bản kiểm phiếu lấy ý kiến cổ đông bằng văn bản và các nội dung được Đại hội đồng cổ đông thông qua* *Re: Announcing the Resolution, Minutes of Vote Counting for Written Shareholders Opinion Solicitation, and Matters Approved by the General Meeting of Shareholders*	*Hà Nội, ngày 19 tháng 11 năm 2026* *Hanoi, November 19, 2026*

CÔNG TY CỔ PHẦN CHỨNG KHOÁN STANLEY BROTHERS
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN STANLEY BROTHERS
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CÔNG BỐ THÔNG TIN BẤT THƯỜNG

DISCLOSURE OF UNUSUAL INFORMATION

Kính gửi/ Respectfully to: **Ủy ban chứng khoán Nhà nước/** ***State Securities Commission of Vietnam;***

Sở Giao dịch Chứng khoán Việt Nam/ ***Vietnam Stock Exchange;***

Sở Giao dịch Chứng khoán Hà Nội/ ***Hanoi Stock Exchange;***

Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh/ ***Ho Chi Minh City Stock Exchange.***

1. Tên tổ chức: Công ty Cổ phần Chứng khoán Stanley Brothers (SBSI)

Name of organization: Stanley Brothers Securities Incorporation (SBSI)

- Mã chứng khoán/ Stock code: VUA
- Mã thành viên/ Member code: 088
- Địa chỉ/ Address: Tầng 9, tòa nhà ROX Tower, số 54A, Nguyễn Chí Thanh, phường Láng, TP. Hà Nội./ 9th Floor, ROX Tower, No. 54A, Nguyen Chi Thanh, Lang Ward, Hanoi City.
- Điện thoại liên hệ/ Contact phone: (+84) 24 3377 6699 Fax: (+84) 24 3373 6699
- E-mail: cbtt@sbsi.vn
- Website: https://sbsi.vn

2. Nội dung thông tin công bố/ Contents of information disclosure:

Nghị quyết, Biên bản kiểm phiếu lấy ý kiến cổ đông bằng văn bản và các nội dung được Đại hội đồng cổ đông thông qua.

The Resolution, Minutes of Vote Counting for Written Shareholders Opinion Solicitation, and Matters Approved by the General Meeting of Shareholders.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 19/11/2026 tại đường dẫn: https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

This information was published on the company's website on 19/11/2026 at the link: https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21

We would like to commit that the information published above is true and fully responsible before the law for the content of the information published.

Tài liệu đính kèm:

- Biên bản kiểm phiếu lấy ý kiến cổ đông bằng văn bản và tài liệu kèm theo Biên bản;
- Nghị quyết Đại hội đồng cổ đông và các nội dung được Đại hội đồng cổ đông thông qua.
- *Minutes of Vote Counting for Written Shareholders Opinion, and the documents attached to the Minutes;*
- *Resolution of the General Meeting of Shareholders and the matters approved by the General Meeting of Shareholders.*

Đại diện tổ chức

Người đại diện theo pháp luật

Representative of the organization

Legal representative

CÔNG TY CỔ PHẦN CHỨNG KHOÁN STANLEY BROTHERS

Tổng Giám đốc

General Director

Nguyễn Tiến Dũng

STANLEY BROTHERS SECURITIES INCORPORATION	SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom - Happiness
No. 1811/2026/BBKP-SBSI	*Ha Noi, 18/11/2026*

MINUTES OF VOTE COUNTING FOR WRITTEN SHAREHOLDER OPINION SOLICITATION

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation;*
- *Pursuant to the Charter of Stanley Brothers Securities Incorporation ("**Company**");*
- *Pursuant to the results of obtaining shareholders' written opinions;*

I. COMPANY INFORMATION

1. **Company name:** STANLEY BROTHERS SECURITIES INCORPORATION.
2. **Headquarters:** 9th Floor, ROX Tower Building, No. 54A Nguyen Chi Thanh Street, Lang Ward, Hanoi, Vietnam.
3. **Enterprise Code:** 0305453780.
4. **Phone:** 024 3377 6699.
5. **Website:** https://sbsi.vn/.
6. **Establishment and Operation License**: No. 83/UBCK-GP issued by the Chairman of the State Securities Commission on January 16, 2008 and its Amending Licenses.

II. PURPOSE AND MATTERS FOR OPINION SOLICITATION

1. **Purpose of opinion solicitation:** The Company is soliciting shareholders' written opinions to approve several matters under the authority of the General Meeting of Shareholders.
2. **Matters submitted for approval:** Approve Proposal No. 2910/2026/TTr-HĐQT dated 29/10/2026 of the Board of Directors regarding the approval of providing securities transaction clearing and settlement services.

III. VOTE COUNTING ORGANIZATION

1. **Time of vote counting:** At 09 h 00 on 18/11/2026.
2. **Venue of vote counting:** At the Company's Headquarters.
3. **Participants:**

a) Vote Counting Committee, comprising:

(i) Head of the Vote Counting Committee: Mr. Hồ Lê Việt Hưng – Chairman of the Board of Directors of the Company.

(ii) Member of the Vote Counting Committee: Mr. Vũ Hoàng Minh – Head of Investment Banking of the Company.

b) Witness and Supervisor of vote counting: Representative of the Company's Supervisory Board – Mr. Trần Quang Khánh – Head of the Supervisory Board of the Company.

c) **Secretary:** Ms. Phan Hoàng Linh – Equity Capital Markets Expert of the Company.

IV. VOTE COUNTING RESULTS

1. General statistics:

a) Total number of shareholders eligible to vote as of the record date (October 23, 2026) for obtaining written opinions: 159 shareholders, holding 200,000,000 voting shares (01 voting share = 01 vote), equivalent to the Company's charter capital of VND 2,000,000,000,000.

b) Total number of Written Opinion Forms dispatched: 159 Forms, corresponding to 159 shareholders.

c) Total number of shareholders who returned completed Written Opinion Forms to the Company prior to 17:00 on November 16, 2026: 06 shareholders, holding 195,584,259 voting shares, corresponding to 06 ballots, representing 97.79% of the total voting shares of the Company, of which:

(i) Submitted directly at the Company's Head Office: 06 Written Opinion Forms;

(ii) Submitted via post: 0 Written Opinion Form;

(iii) Submitted via email to nhdt@sbsi.vn: 0 Written Opinion Form.

2. Vote Counting Results:

Matter Submitted for Shareholders' Opinion	Number of Valid Votes	Number of Invalid Votes	Voting Results		
			Agree	Disagree	Abstain
Approve Proposal No. 2910/2026/TTr-HĐQT dated 29/10/2026 of the Board of Directors regarding the approval of providing securities transaction	195.584.259	0	195.584.259 (representing 97,79%)	0 (representing 0%)	0 (representing 0%)

Matter Submitted for Shareholders' Opinion	Number of Valid Votes	Number of Invalid Votes	Voting Results		
			Agree	Disagree	Abstain
clearing and settlement services.					

V. APPROVED MATTERS

Pursuant to the vote counting results recorded in Section IV above, the General Meeting of Shareholders of the Company has duly approved the following matter:

1. **Approved matter:** Proposal No. 2910/2026/TTr-HĐQT dated 29/10/2026 of the Board of Directors regarding the approval of providing securities transaction clearing and settlement services.

2. **Corresponding approval rate:** 97,79%.

The vote counting concluded at 11:00 on the same day.

These Minutes Of Vote Counting For Written Shareholder Opinion Solicitation consist of 04 (four) pages, are executed in 02 (two) originals of equal legal validity, and are archived at the Company.

Attached to these Minutes Of Vote Counting For Written Shareholder Opinion Solicitation is the Appendix: List of Shareholders Participating in Voting.

VOTE COUNTING COMMITTEE

HEAD OF THE VOTE COUNTING COMMITTEE

Hồ Lê Việt Hưng

Chairman of the Board of Directors

MEMBER

Vũ Hoàng Minh

WITNESS AND SUPERVISOR

Trần Quang Khánh

SECRETARY

Phan Hoàng Linh

PHỤ LỤC DANH SÁCH CỔ ĐÔNG THAM GIA BIỂU QUYẾT
APPENDIX: LIST OF SHAREHOLDERS PARTICIPATING IN VOTING

Đính kèm Biên bản kiểm phiếu lấy ý kiến cổ đông bằng văn bản số 1811/2026/BBKP-SBSI
Attached to the Minutes of Vote Counting for the Collection of Shareholders' Written Opinions No. 1811/2026/BBKP-SBSI

STT/*No.*	Tên cổ đông/ *Shareholder Name*	Số ĐKSH *Identification No.*	Số lượng phiếu biểu quyết *Number of voting rights*	Tỷ lệ trên tổng số phiếu biểu quyết của tất cả các cổ đông Công ty *Percentage of total voting rights held by all shareholders of the Company*	Phương thức gửi biểu quyết *Ballot submission method*	Tính hợp lệ của Phiếu biểu quyết *Ballot validity*	Ghi chú/ Notes
1		2901953072	48.999.909	24,50%	Gửi trực tiếp / In person	Hợp lệ / Valid	
2		0800274112	49.254.680	24,63%	Gửi trực tiếp / In person	Hợp lệ / Valid	
3		0106831501	49.279.480	24,64%	Gửi trực tiếp / In person	Hợp lệ / Valid	
4		0110334132	8.000.000	4,00%	Gửi trực tiếp / In person	Hợp lệ / Valid	
5		0107073787	8.000.000	4,00%	Gửi trực tiếp / In person	Hợp lệ / Valid	
6		0104033560	32.050.190	16,03%	Gửi trực tiếp / In person	Hợp lệ / Valid	
		TỔNG CỘNG / TOTAL	**195.584.259**	**97,79%**			

STANLEY BROTHERS SECURITIES INCORPORATION	**SOCIALIST REPUBLIC OF VIETNAM**
----------	**Independence – Freedom - Happiness**

No: 02/2026/NQ-ĐHĐCĐ	*Ha Noi, 18 /11/2026*

RESOLUTION

V/v: Approving matters submitted for written shareholder opinion solicitation

GENERAL MEETING OF SHAREHOLDERS
STANLEY BROTHERS SECURITIES INCORPORATION

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation;*
- *Pursuant to the Charter of Stanley Brothers Securities Incorporation ("**Company**");*
- *Based on the Minutes of Vote Counting for Written Shareholder Opinion Solicitation No 1811 /2026/BBKP-SBSI dated 18 /11 /2026;*

RESOLVED:

Article 1. Approve the Proposal No 2910/2026/TTr-HĐQT dated 29/10/2026 of the Board of Directors regarding the approval of providing securities transaction clearing and settlement services .

Article 2. This Resolution takes effect from the signing date.

Article 3. Members of the Board of Directors, members of the Board of Management, related departments and individuals shall take responsibility for the implementation of this Resolution.

Recipients:
- As Article 3;
- Archives.

ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS
CHAIRMAN OF THE BOARD OF DIRECTORS

S.G.P: 83 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN STANLEY BROTHERS
Q. ĐỐNG ĐA T.P HÀ NỘI

Ho Le Viet Hung

STANLEY BROTHERS SECURITIES INCORPORATION	**SOCIALIST REPUBLIC OF VIETNAM**
----------	**Independence – Freedom - Happiness**

No: 2910/2026/TTr-HĐQT	*Ha Noi, 29 / 10/2026*

PROPOSAL

Re: The approval of providing securities transaction clearing and settlement services.

THE BOARD OF DIRECTORS
STANLEY BROTHERS SECURITIES INCORPORATION

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 of the National Assembly (as amended and supplemented from time to time) and guiding documents for implementation ;*
- *Pursuant to the Charter of Stanley Brothers Securities Incorporation ("**Company**");*

With the objective of implementing the underlying securities clearing and settlement system under the Central Counterparty mechanism by 2027, the State Securities Commission has announced the Implementation Plan for the Central Counterparty mechanism for the underlying securities market.

On that basis, to prepare for the Company's execution of securities transaction clearing and settlement services in accordance with legal regulations and aligned with the Implementation Plan for the Central Counterparty mechanism for the underlying securities market announced by the State Securities Commission, with the purpose of enhancing customer experience and attracting clients, the Board of Directors of the Company respectfully submits to the General Meeting of Shareholders for consideration and approval of the following matters:

1. Approve the execution of securities transaction clearing and settlement services in accordance with applicable laws.

2. Approve the authorization/assignment to the Board of Directors of the Company as follows:

2.1. Approve, decide, and execute all contents, matters, tasks, and documents related to the implementation of securities transaction clearing and settlement services, including but not limited to:

a) Selecting, deciding, and registering the type of clearing membership (general clearing member or direct clearing member) based on the Company's operational conditions and business requirements;

b) Deciding the plan for preparation and implementation;

c) Deciding the tentative implementation timeline and method; and the official implementation timeline and method;

d) Deciding the execution of necessary procedures and tasks to be granted the Certificate of Eligibility to Provide Securities Transaction Clearing and Settlement Services, the Certificate of Clearing Membership, and other licenses, certificates, decisions, and written approvals from competent authorities; and

e) Deciding other matters related to the provision of securities transaction clearing and settlement services in accordance with legal regulations..

2.2. The Board of Directors is entitled to authorize/assign to the Chairman of the Board of Directors, the General Director of the Company, and/or other individuals or entities to perform part or all of the tasks specified in Section 2 of this Proposal.

The Board of Directors of the Company respectfully submits to the General Meeting of Shareholders for consideration and approval./.

Sincerely./.

Recipients:
- As above;
- Archives.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



Ho Le Viet Hung