

**CÔNG TY CỔ PHẦN CHỨNG KHOÁN
STANLEY BROTHERS**

**STANLEY BROTHERS SECURITIES
INCORPORATION**

Số/ No.: **253**/2026/CV-SBSI

V/v: CBTT về Báo cáo tiến độ sử dụng số tiền thu được từ đợt chào bán cổ phiếu riêng lẻ 251/2026/CV-SBSI

Re: Disclosure of information regarding the Report on the use of proceeds from the private placement of shares No. 251/2026/CV-SBSI

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness**

Hà Nội, ngày 23 tháng 07 năm 2026

Hanoi, July 23, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG

DISCLOSURE OF UNUSUAL INFORMATION

Kính gửi/ Respectfully to: Ủy ban chứng khoán Nhà nước/ State Securities Commission of Vietnam;

Sở Giao dịch Chứng khoán Việt Nam/ Vietnam Stock Exchange;

Sở Giao dịch Chứng khoán Hà Nội/ Hanoi Stock Exchange;

Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh/ Ho Chi Minh City Stock Exchange.

1. Tên tổ chức: Công ty Cổ phần Chứng khoán Stanley Brothers (SBSI)

Name of organization: Stanley Brothers Securities Incorporation (SBSI)

- Mã chứng khoán/ Stock code: VUA

- Mã thành viên/ Member code: 088

- Địa chỉ/ Address: Tầng 9, tòa nhà ROX Tower, số 54A, Nguyễn Chí Thanh, phường Láng, TP. Hà Nội./ 9th Floor, ROX Tower, No. 54A, Nguyen Chi Thanh, Lang Ward, Hanoi City.

- Điện thoại liên hệ/ Contact phone: (+84) 24 3377 6699 Fax: (+84) 24 3373 6699

- E-mail: cbtt@sbsi.vn

- Website: <https://sbsi.vn>

2. Nội dung thông tin công bố/ Contents of information disclosure:

Báo cáo tiến độ sử dụng số tiền thu được từ đợt chào bán cổ phiếu riêng lẻ số 251/2026/CV-SBSI ngày 23/07/2026.

Report on the use of proceeds from the private placement of shares No. 251/2026/CV-SBSI dated 23 July 2026.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 23/07/2026 tại đường dẫn: <https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

This information was published on the company's website on 23/07/2026 at the link: <https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>



We would like to commit that the information published above is true and fully responsible before the law for the content of the information published.

Tài liệu đính kèm:

Báo cáo tiến độ sử dụng số tiền thu được
từ đợt chào bán cổ phiếu riêng lẻ số
251/2026/CV-SBSI
*Report on the use of proceeds from the
private placement of shares No.
251/2026/CV-SBSI*

Đại diện tổ chức

Người đại diện theo pháp luật

Representative of the organization

Legal representative *st*



Tổng Giám đốc

General Director

Nguyễn Tiến Dũng



No. 251./2026/CV-SBSI

Hanoi, July 23. 2026

**REPORT ON THE USE OF PROCEEDS
FROM THE PRIVATE PLACEMENT OF SHARES**

(According to Official Letter No. 186/UBCK-QLKD dated 08/01/2026 of the State Securities Commission of Vietnam announcing the receipt of full registration documents for private placement of shares of Stanley Brothers Securities Incorporation)

To: State Securities Commission of Vietnam

I. INTRODUCTION TO THE ISSUER

1. Name of issuer *(full)*: Stanley Brothers Securities Incorporation
 2. Head office address: 9th Floor, ROX Tower, No. 54A Nguyen Chi Thanh, Lang Ward, Hanoi City, Vietnam
 3. Phone: 024 3377 6699 Fax: 024 3373 6699 Website: www.sbsi.vn
 4. Charter capital: VND 2,000,000,000,000
 5. Stock code: VUA
 6. Place of opening a current account: Vietnam Maritime Commercial Joint Stock Bank - Dong Da Branch
- Account number: 03101010151854

7. Enterprise Registration Certificate No: 0305453780 issued by the Department of Finance of Hanoi City for the first time on January 16, 2008, issued for the 4th time on February 7, 2026.

- Main business scope: Securities brokerage Industry code: 6612
- Main products/services: Securities brokerage, Proprietary securities trading, Securities investment consulting, Securities depository, Securities margin trading services, Securities underwriting and other financial services

8. Establishment and Operation License *(if any in accordance with specialized laws)*: Business Operation License No. 83/UBCK-GP issued by the State Securities Commission on January 16, 2008, amended license No. 23/GPDC-UBCK dated February 5, 2026.

II. SECURITIES OFFERED AND ISSUED:

1. Stock name: Stanley Brothers Securities Incorporation
2. Type of securities: Common shares
3. Par value: 10,000 VND/share
4. Number of securities offered: 166,100,000 shares



5. Total proceeds mobilized: 1,661,000,000,000 VND, of which the capital/amount mobilized for the project (*in case of using capital for project implementation*): 0 VND.

6. Closing date of the issuance: 26/01/2026

III. PROGRESS OF USING PROCEEDS FROM THE OFFERING

1. Plan of using proceeds

Pursuant to The Resolution No. 18/2025/NQ-HDQT dated November 26, 2025 approving the detailed plan on use of capital raised from the private placement of shares, the proceeds from the offering shall be used for the following purposes:

STT	Purpose of use of capital	Amount (VND)	Expected Timeline for Disbursement & Use	How to use
1	Investment in information technology systems	50,000,000,000	In 2026	Expenditure on investment and procurement for information technology systems
2	Supplementing capital sources for proprietary trading activities	1,200,000,000,000	In 2026	Spending money on proprietary securities trading investment activities
3	Supplementing capital sources for margin lending activities	411,000,000,000	In 2026	Spending money on margin lending activities
	Total	1,661,000,000,000		

2. Information on the project progress of the issuer: None

3. Actual progress of using proceeds from the offering:

- Actual progress of using the proceeds from the offering up to now:

STT	Contents	Amount (VND)
1	Total proceeds from the offering	1,661,000,000,000
2	Total expenses incurred in the offering, of which:	48,700,000
	- Service price adjustment of information on the number of securities registered at VSDC	5,500,000

	- Expenses for auditing the report on contributed charter capital from 01/01/2026 to 07/02/2026	43,200,000
3	Total net income from the offering (3=1-2)	1,660,951,300,000

The Company used the net proceeds from the offering for the following purposes:

STT	Purpose of use of capital	Amount (VND)	Disbursement time	How to use
1	Investment in information technology systems	50,000,000,000	Disbursement expected in the fourth quarter of 2026	Expenditure on investment and procurement for information technology systems
2	Supplementing capital sources for proprietary trading activities	1,200,000,000,000	Fully disbursed until 20/7/2026	Spending money on proprietary securities trading investment activities
3	Supplementing capital sources for margin lending activities	410,951,300,000	Fully disbursed until 20/7/2026	Spending money on margin lending activities
	Total	1,660,951,300,000		

- Changes (if any): None

- Reason for change (if any): None

4. The report on the progress of using the proceeds from the offering shall be disclosed:

At website: www.sbsi.vn

From: 23/07/2026.

STANLEY BROTHERS SECURITIES
INCORPORATION
GENERAL DIRECTOR



Nguyễn Tiến Dũng